Country Questionnaire for Indicator 6.5.1

Degree of integrated water resources management implementation (0 – 100)

Introduction

UN Environment is supporting countries in monitoring and reporting on Sustainable Development Goal (SDG) 6, including target 6.5: "By 2030, implement integrated water resources management at all levels, including through transboundary cooperation as appropriate" ¹. The target supports the equitable and efficient use of water resources, which is essential for social and economic development, as well as environmental sustainability.

Indicator 6.5.1 is: Degree of integrated water resources management implementation (0 – 100). Please refer to the "<u>Step-by-step Monitoring Methodology for</u> <u>Indicator 6.5.1</u>" for a full description of indicator 6.5.1, which provides additional guidance on completing the questionnaire, data collection, management and use.

The indicator score calculated using the responses to this questionnaire represents the current degree of IWRM implementation, on a scale from 0 to 100. The process of completing the questionnaire, including national multi-stakeholder workshops, supports countries in identifying barriers or delays to further progress, thereby providing a starting point for considering possible correcting actions towards achieving the IWRM target. The actions to achieve target 6.5 directly underpin the various other water-related targets within SDG-6.

The IWRM Focal Point is responsible for submitting the final completed questionnaire to UN Environment for formal submission. This can be done by using one of the following options (content is identical):

Option 1: Complete and submit the online version of the questionnaire in SurveyMonkey from the link available here:

https://www.surveymonkey.com/r/LGLWVNH

Option 2: Complete and submit the Microsoft Word version of the questionnaire to the HelpDesk either electronically or via post or fax: HelpDesk at UN Environment Email: lwrm.Sdg6survey@unep.org

Upon request, the helpdesk may provide support to the national IWRM focal points on matters such as interpretation of questions and thresholds, the appropriate level of stakeholder engagement in countries, and support to uploading/submitting the final indicator scores.

¹ This is being done as part of the GEMI initiative, coordinated by UN-Water, for monitoring and reporting of SDG targets 6.3 - 6.6, 6a and 6b. Support is provided in close collaboration with a number of UN-Water members and partners.

About the Questionnaire

The questionnaire contains four sections, each covering a key component of IWRM:

1. Enabling Environment: Creating the conditions that help to support the implementation of IWRM, which includes the most typical policy, legal and strategic planning tools for IWRM.

2. Institutions and Participation: The range and roles of political, social, economic and administrative institutions and other stakeholder groups that help to support the implementation of IWRM.

3. Management Instruments: The tools and activities that enable decision-makers and users to make rational and informed choices between alternative actions.

4. Financing: Budgeting and financing made available and used for water resources development and management from various sources.

Each section has two sub-sections covering the "National" and "Other" levels. Various levels are covered to address the target 6.5 wording "... at all levels." "Other" levels include sub-national, basin, local and transboundary (see glossary). Questions relate to these levels depending on their relevance to the particular aspect of IWRM.

For each question, a score between 0 and 100 should be selected, in increments of 10, unless the country judges the question to be 'not applicable (n/a)'. The score selection is guided by descriptive text for six thresholds, which are specific to each question. If a country judges the degree of implementation to be between two thresholds, the increment of 10 between the two thresholds may be selected. The potential scores that may be given for each question are: 0, 10, 20, 30, 40, 50, 60, 70, 80, 90, 100.

The thresholds for each question are defined sequentially. This means that the criteria for all lower levels of implementation must be met in order for a country to respond that it has reached a specific level of implementation for each question. Furthermore, if an aspect of IWRM is specified in a lower threshold, it is implicit that this aspect must also be addressed in the higher thresholds for that question.

The thresholds are indicative and are meant to guide countries in choosing the most appropriate responses, i.e. selected responses should be a reasonable match, but do not have to be a perfect match, as each country is unique.

Respondents are strongly encouraged to add their justification for the score given in the space provided after each question, referencing evidence wherever possible (e.g. quoting reports, laws, plans etc.). This will significantly increase the robustness and objectivity of the questionnaire. It will help different stakeholder groups within the country to reach agreement on responses to each question; help countries analyse what is required to reach the next threshold; help countries to track progress over time; and allow for standardisation of degrees of implementation between countries. Countries are also welcome to provide additional relevant information or links to further documentation in the spaces provided after each question. Note that if 'Very high' or 'n/a' (not applicable) is selected as a response to any of the questions, the respondents are required to provide a brief justification for this.

Indicator 6.5.1 is calculated as follows:

- 1. Calculate the average score of each of the four sections by averaging all questions scores in each section.
- 2. Calculate the average of the four section scores to give the overall score for indicator 6.5.1.

If 'not applicable' is selected for any question, this will not be included in the indicator calculations, and therefore will not reduce the average score. All questions should be given a score, unless 'n/a' is selected. It is not possible to omit questions.

Glossary

- Authorities / organizations / institutions / departments: administrative units.
- Basins: Includes rivers, lakes and aquifers, unless otherwise stipulated. For surface water, the term is interchangeable with 'catchments' and 'watersheds'.
- Federal countries: Refers to countries made up of federated states, provinces, territories or similar terms.
- **IWRM:** Integrated Water Resources Management (IWRM) is a process that promotes the coordinated development and management of water, land and related resources in order to maximise the resultant economic and social welfare in an equitable manner without compromising the sustainability of vital ecosystems. IWRM is not an end in itself but a means of achieving three key strategic objectives:
 - o efficiency to use water resources in the best way possible;
 - o equity in the allocation of water across social and economic groups;
 - o environmental sustainability, to protect the water resource base, as well as associated ecosystems.
- **'Most significant' interstate basins:** For federal countries only. Basins that cross state/provincial borders and are of reasonably high significance to those states and/or the country.
- **National (level):** Refers to the highest level of administration in a country.
- Sub-national / state (level): refers to levels of administration other than national. For federated countries, these are likely to be provinces or states. Non-federated countries may still have sub-national jurisdictions with some responsibility for water resources management, e.g. regions, counties, departments.
- **Programs:** Nation-wide plans of action with long-term objectives, for example to strengthen monitoring, knowledge sharing and capacity development, with details on what work is to be done, by whom, when, and what means or resources will be used.
- **Stakeholders:** In this questionnaire, stakeholders are the main groups important for water resources management, development and use. Examples of stakeholders in each group are given in footnotes as they appear in the survey.
- Water Resources Management is the activity of planning, developing, distributing and managing the optimum use of water resources. Ideally, water resource management planning has regard to all the competing demands for water and seeks to allocate water on an equitable basis to satisfy all uses and demands. An integrated approach (see IWRM) is needed to ensure water resources management is not isolated within sector silos resulting to inefficiencies, conflicts and unsustainable resource use. Generally in this questionnaire, WRM activities (e.g. policies, laws, capacity development), must be based on IWRM approaches to score 40 and above.

Transboundary questions:

The transboundary questions for indicator 6.5.1 focus on the degree of implementation of IWRM at the transboundary level, as relevant to implementation of IWRM 'at all levels', as specified in target 6.5. Countries sharing basins of transboundary waters (rivers, lakes or aquifers) should answer the questions on transboundary issues. This information is complemented by indicator 6.5.2 'Proportion of transboundary basin area with an operational arrangement for water cooperation'.

To enable tracking of progress over time and for transparency, in the table below please list the transboundary (or 'international') basins or aquifers that are included in this survey. Only the most important transboundary basins or aquifers that are regarded as significant, in terms of economic, social or environmental value to the country (or neighbouring countries), need to be included in this survey. It is up to countries to decide which ones these are. When answering transboundary questions, the majority of the basins below must meet the criteria described in each threshold to achieve the score for that threshold.

	Important basin / aquifer
1.	Rhine basin
2.	Meuse basin
3.	Danube basin
4.	Elbe basin
5.	Oder basin
6.	Ems basin

1. Enabling Environment

This section covers the enabling environment, which is about creating the conditions that help to support the implementation of IWRM. It includes the most typical policy, legal and planning tools for IWRM². Please refer to the glossary for any terms that may require further explanation. Please take note of all footnotes as they contain important information and clarification of terms used in the questions and thresholds.

1.	Enabling E	nvironment						
				-	Degree of implem	entation (0 – 100)	-	-
			Very low (0)	Low (20)	Medium-low (40)	Medium-high (60)	High (80)	Very high (100)
1	.1 What is	the status of p	oolicies, laws and plans to su	upport Integrated Water	Resources Management ((IWRM) at the national le	vel?	
а	a National water resources policy, or similar		Development not started or not progressing.	Exists, but not based on IWRM.	Based on IWRM, approved by government and starting to be used by authorities to guide work.	Being used by the majority of relevant authorities to guide work.	Policy objectives consistently achieved.	Objectives consistently achieved, and periodically reviewed and revised.
	Score or n/a:	[Enter score] 90 %	Justification/evidence	EU water directives (Water Framework Directive 2000, Flood Directive 2007), basin related, all water uses and flood protection, Basin management plans and flood risk management plans every 6 years, national and transboundary, regular monitoring of implementation National Biodiversity Strategy 2007 (Federal Government) National Sustainability Strategy 2002 (Federal Government)				
b	National resource		Development not started or not progressing.	Exists, but not based on IWRM.	Based on IWRM, approved by government and starting to be applied by authorities.	Being applied by the majority of relevant authorities.	All laws are being applied across the country.	All laws are enforced across the country, and all people and organizations are held accountable.

² For examples of good practices of policies, laws and plans, please see: GWP (Editor) (2004): Catalyzing Change: A handbook for developing IWRM and water efficiency strategies. Stockholm: Global Water Partnership (GWP).

	Score or n/a:	[Enter score] 90 %	Justification/evidence	Different national water acts, on federal and regional level, e.g. National Water Act Water Discharges Act Different ordinances on surface water, ground water, fertilizers etc.					
			Very low (0)	Low (20)	Medium-low (40)	Medium-high (60)	High (80)	Very high (100)	
с	National integrated water resources management (IWRM) plans, or similar Development not start or not progressing. Score or n/a: [Enter score] 100 % Justification/evidence		Development not started or not progressing.	Being prepared, but not approved by government.	Approved by government and starting to be implemented by authorities.	Being implemented by the majority of relevant authorities.	Plan objectives consistently achieved.	Objectives consistently achieved, and periodically reviewed and revised.	
			Justification/evidence	River basin management plans and programmes of measures according to the EU Water Framework Directive, basin related					
1	1.2 What is the status of policies, laws and pla			upport IWRM at other lev	vels?				
а	2		Development not started or delayed in most sub-national jurisdictions.	Exist in most jurisdictions, but not necessarily based on IWRM.	Based on IWRM, approved by the majority of authorities and starting to be used to guide work.	Being used by the majority of relevant authorities to guide work.	Policy objectives consistently achieved by a majority of authorities.	Objectives consistently achieved by all authorities, and periodically reviewed and revised.	
	Score or n/a:	[Enter score] 90 %	Justification/evidence	Regional water acts and po	olicies in the 16 German fede	ral states.			
b	 Basin/aquifer management plans⁴ or similar, based on IWRM 		Development not started or delayed in most basins/aquifers of national importance.	Being prepared for most basins/aquifers of national importance.	Approved in the majority of basins/aquifers and starting to be used by authorities.	Being implemented in the majority of basins/aquifers.	consistently achieved in majority of basins/aquifers.	Objectives consistently achieved in all basins/aquifers, and periodically reviewed and revised.	
	Score or n/a:	[Enter score] 100 %	Justification/evidence	16 federal states have established river basin management plans and programmes of measures concerning their shares of national and international river basins. Plans are updated – if needed – every six years. Regular monitoring.					

³ Sub-national includes jurisdictions not at national level, such as: states, provinces, counties, regions, or departments.

⁴ At the basin/aquifer level, please include only the most important river basins, lake basins and aquifers for water supply or other reasons. This question only refers to these basins/aquifers. These basins/aquifers are likely to cross administrative borders, including state/provincial borders for federal countries. The basins may also cross national borders, but this question refers to management of the portions of basins within each country. Question 1.2c refers specifically to transboundary arrangements for basins/aquifers shared by countries.

			Very low (0)	Low (20)	Medium-low (40)	Medium-high (60)	High (80)	Very high (100)	
С	Arrange transbo	ments for undary	Development not started or not progressing.	Being prepared or negotiated.	Arrangements are adopted.	Arrangements' provisions are partly	Most of the arrangements'	The arrangements' provisions are fully	
	water management in most important basins / aquifers ⁵					implemented.	provisions are implemented.	implemented.	
	Score or [Enter score] n/a: 100 %		Justification/evidence	See German answers on indicator 6.5.2. Six international river basin conventions and commissions. One international cooperation (Ems) on the basis of exchange of ministerial letters. See www.iksr.org, www.meuse-maas.be, www.iksms-cipms.de, www.icpdr.org, www.ikse-mkol.de, www.mkoo.pl, www.ems-eems.de 4 bilateral commissions on mainly water bodies at the borders with The Netherlands, Poland, Czech Republic and Austria					
d	 FEDERAL COUNTRIES ONLY: Provincial/state water resources laws. 		Development not started or delayed in most states.	Exist in most jurisdictions, but not necessarily based on IWRM.	Based on IWRM, approved in most states and starting to be applied by authorities in the minority of states.	Some laws being applied in the majority of states.	All laws being applied in the majority of states.	All laws being applied in all states, and all people and organizations are held accountable.	
_	Score or n/a: [Enter score] 100 % Justification/evidence Water acts and ordinances of the 16 German federal states. Too many to list. All available via the websites of the 16 federal states'environment ministries. Average 'Enabling Environment' score [Enter score here] 96% In case of 'n/a' for any questions, they should be omitted from the average calculation.								

⁵ An arrangement can be a bilateral or multilateral treaty, convention, agreement or other arrangement (e.g. memorandum of understanding) between riparian countries on the management of a transboundary basin/aquifer. Refers to international basins/aquifers only. Arrangements may be interstate, intergovernmental, inter-ministerial, interagency or between regional authorities.

2. Institutions and Participation

This section is about the range and roles of political, social, economic and administrative institutions that help to support the implementation of IWRM. It includes some of the most typical institutions at different levels of society for IWRM. It includes institutional capacity and effectiveness, cross-sector coordination, stakeholder participation and gender equality. The 2030 Agenda stresses the importance of partnerships that will require public participation and creating synergies with the business sector. Note that public participation is also addressed in the 'means of implementation' Target 6.b: "Support and strengthen the participation of local communities in improving water and sanitation management", which is monitored by indicator 6.b.1: "Proportion of local administrative units with established and operational policies and procedures for participation of local communities in water and sanitation management".

Terminology used in the questions:

- **Government authorities:** could be a ministry or ministries, or other organizations/institutions/agencies/bodies with a mandate and funding from government.
- Capacity for leading implementation: in this context is that the responsible authorities should be adapted to the complexity of water challenges to be met and have the required knowledge, technical facilities and skills, including planning, rule-making, project management, finance, budgeting, data collection and monitoring, risk management and evaluation. It should include the ability to manage potential conflicts of interest between different sectors and/or stakeholder groups, particularly at the basin/aquifer level.
- Sectors relates to coordination between the government authorities responsible for water management and those responsible for other sectors (such as agriculture, energy, climate, environment etc.) that are dependent on water, or impact on water. Coordination between groundwater and surface water development/management should also be optimised. The relevant sectors should be considered according to their importance for the country.
- Stakeholder includes all interested parties who are, or may be, affected by any water resources issue or intervention. It includes organizations, institutions, academia, civil society and individuals. While definitions of stakeholders typically include the private (or business) sector, this particular stakeholder group is deal with separately in this questionnaire (see below).
- Business includes private for-profit groups. It does not include government or civil society.

Please refer to the glossary for any terms that may require further explanation. Please take note of all footnotes as they contain important information and clarification of terms used in the questions and thresholds.

2. I	nstitutions and Participati	on						
				Degree of impler	mentation (0 – 100)			
		Very low (0)	Low (20)	Medium-low (40)	Medium-high (60)	High (80)	Very high (100)	
2.1	What is the status of insti	tutions for IWRM implem	entation at the national l	level?				
a	National government authorities'⁶ capacity ⁷ for leading implementation of national IWRM plans or similar	No dedicated government authorities for water resources management.	Authorities exist, with clear mandate to lead water resources management.	Authorities have clear mandate to lead IWRM implementation, and the capacity to effectively lead IWRM plan formulation.	Authorities have the capacity to effectively lead IWRM plan implementation.	Authorities have the capacity to effectively lead periodic monitoring and evaluation of the IWRM plan.	Authorities have the capacity to effectively lead periodic IWRM plan revision .	
	Score or [Enter score] n/a: 90 %	Justification/evidence	[Enter text here. E.g. reference to authorities and mandates, levels of capacity, reports] Federal Government Ministries (Environment, Transport, Agriculture etc.) Same ministries on the level of the 16 German federal states District, county and municipal water authorities					
b	Coordination	No communication	Communication:	Consultation:	Participation:	Representation:	Co-decisions and co-	
	between national	between different	Information on water	Information,	Opportunities for	Formal consultation	production:	
	government	government sectors on	resources, policy,	experiences and	different sectors to take	between different	Shared power between	
	authorities	policy, planning and	planning and	opinions are shared	part in policy, planning	government sectors	different sectors on	
	representing different	management.	management is made	between different	and management	with the objective of	joint policy, planning	
	sectors ⁸ on water		available between	sectors.	processes.	agreeing on	and management	
	resources, policy,		different sectors.			collective decisions	activities.	
	planning and					on important issues		
	management					and activities.		
	Score or [Enter score] Justification/evidence [Enter text here. E.g. reference to mechanisms for cross-sectoral coordination, evidence of meetings, reports] n/a: 80 % Joint implementation of EU law by transposing it into national German law, coordination is needed. Cooperation between environment and transport with regard to waterways. Cooperation between agriculture and environment concerning fertilizers, niutrients, pesticides							

⁶ 'Government authorities' could be a ministry or ministries, or other organizations/institutions/agencies/bodies with a mandate and funding from government.

⁷ 'Capacity for leading implementation' in this context is that the responsible authorities should be adapted to the complexity of water challenges to be met and have the required knowledge and technical skills, including planning, rule-making, project management, finance, budgeting, data collection and monitoring, risk management and evaluation. Beyond having the capacity to lead implementation of the activities listed in the thresholds, authorities must also actually be leading the implementation of these activities. ⁸ Relates to coordination between the government authorities responsible for water management and those responsible for other sectors (such as agriculture, energy, climate,

environment etc.) that are dependent on water, or impact on water. Coordination between groundwater and surface water development/management should also be optimised. The relevant sectors should be considered according to their importance for the country.

			Strategy on Adaptation to C Etc.	limate Change is lead by env	ironment ministry, other minis	tries involved		
		Very low (0)	Low (20)	Medium-low (40)	Medium-high (60)	High (80)	Very high (100)	
с	Public participation in	No communication	Communication:	Consultation:	Consultation:	Participation:	Representation: Formal	
	water resources,	between government	Information on water	Government	Government authorities	Regular opportunities	representation of	
	policy, planning and	and stakeholders on	resources, policy,	authorities	regularly request	for stakeholders to	stakeholders in	
	management ⁹ at	policy, planning and	planning and	occasionally request	information,	take part in relevant	government processes	
	national level.	management.	management is made	information,	experiences and	policy, planning and	contributing to decision	
			available to	experiences and	opinions of	management	making on important	
			stakeholders.	opinions of	stakeholders.	processes.	issues and activities, as	
				stakeholders.			appropriate.	
	n/a: 90 %		Public participation in river Public participation in EIA a	EU and national law. cing of bigger water uses and basin management and flood nd SEA. s concerned (from all sectors	hydromorphological changes. d risk management processes.			
d	Business ¹⁰	No communication	Limited	Regular consultation	Limited opportunities	Regular	Effective private sector	
	participation in water	between government	communication	between government	for private sector	opportunities for	involvement	
	resources development,	and business about water resources	between government and business about	and business about water resources	involvement established for water resources	private sector involvement	established for water resources development,	
	management and use	development,	water resources	development,	development,	established for water	management and use	
	at national level.	management and use.	development,	management and use.	management and use	resources	activities.	
			management and use.		activities.	development,		
						management and use		
			-			activities.		
	Score or <mark>[Enter score]</mark> n/a: 80 %	Justification/evidence	[Enter text here. E.g. mechanisms for public participation, types of businesses that participate or any significant ones that do not, evidence of degree of participation] See answer to c above. Some targeted cooperation, e.g. stakeholder-specific dialogues on Federal and federal states' level (agriculture, industry etc.)					

⁹ Stakeholder includes all interested parties who are, or may be, affected by any water resources issue or intervention. It includes organizations, institutions, academia, civil society and individuals.

¹⁰ Business includes private for-profit groups. It does not include government or civil society.

e	objectives resources managem national le	s for water ent at evel. ¹¹	Gender not explicitly addressed throughout national laws, policy or plans.	Gender partially addressed throughout national laws, policies or plans.	Gender addressed in national plans but with limited budget and implementation.	Gender addressed in national plans, partially funded and objectives partly achieved.	Activities adequately funded and objectives mostly achieved.	Objectives fully achieved and adequately address gender issues.		
	Score or [En n/a: n/a		Justification/evidence	[Enter text here. E.g. refere	[Enter text here. E.g. reference to gender objectives in laws/policies/plans, programs to address gender objectives, reports]					
			Very low (0)	Low (20)	Medium-low (40)	Medium-high (60)	High (80)	Very high (100)		
f	Developin capacity ¹² national le	at the	No capacity development specific to water resources management.	Occasional capacity development, generally limited to short-term / ad-hoc activities.	Some long-term capacity development initiatives are being implemented, but geographic and stakeholder coverage is limited.	Long-term capacity development initiatives are being implemented, and geographic and stakeholder coverage is adequate .	Long-term capacity development initiatives are being implemented, with effective outcomes, and geographic and stakeholder coverage is very good.	Long-term capacity development initiatives are being implemented with highly effective outcomes, and geographic and stakeholder coverage is excellent.		
	Score or [Enter score] Justification n/a: 80 %		Justification/evidence	[Enter text here. E.g. reference to capacity development programs, geographic and stakeholder coverage] In principle no capacity development at national level needed. Sometimes NGOs have limited capacities to get involved all processes.						

Source: adapted from WWAP 2015 "Questionnaire for collecting sex-disaggregated water data" http://unesdoc.unesco.org/images/0023/002345/234514E.pdf

¹¹ Gender-specific objectives at national level can include: 1) Presence of designated ministerial responsibility for gender in relation to water policies. Presence of designated ministerial responsibility for water in the gender-equality ministry or related designated agency for gender; 2) Gender Parity of male and female participants in meetings of national decision-making authorities (counting the number of women and men participating in meetings); and 3) The presence of gender-specific objectives and commitments (or gender strategies) in national strategies, national plans and national laws regarding national water policy.

¹² IWRM capacity development: refers to the enhancement of skills, instruments, resources and incentives for people and institutions at all levels, to improve IWRM implementation. Capacity needs assessments are essential for effective and cost-effective capacity development. Capacity development programs should consider gender balance and disadvantaged/minority groups in terms of participation and awareness. Capacity development is relevant for many groups, including: local and central government, water professionals in all areas - both public and private water organisations, civil society, and in regulatory organisations. In this instance, capacity development may also include primary, secondary and tertiary education, and academic research concerning IWRM.

2.2	What is the status of inst	itutions for IWRM implem	nentation at other levels?					
а	Basin/aquifer level ¹³ organizations ¹⁴ for leading implementation of IWRM plans or similar.	No dedicated basin authorities for water resources management.	Authorities exist, with clear mandate to lead water resources management.	Authorities have clear mandate to lead IWRM implementation, and the capacity to effectively lead IWRM plan formulation.	Authorities have the capacity to effectively lead IWRM plan implementation.	Authorities have the capacity to effectively lead periodic monitoring and evaluation of the IWRM plan.	Authorities have the capacity to effectively lead periodic IWRM plan revision.	
	Score or [Enter score] n/a: 100 %	Justification/evidence	[Enter text here. E.g. referen See answers to 1.2 above.	nce to authorities and evider	nce of capacity for leading imp	elementation of IWRM]		
		Very low (0)	Low (20)	Medium-low (40)	Medium-high (60)	High (80)	Very high (100)	
b	Public participation ¹⁵ in water resources, policy, planning and management at the local level ¹⁶	No communication between local government and stakeholders on policy, planning and management.	Communication: Local level information on water resources, policy, planning and management is made available to stakeholders.	Consultation: Government authorities occasionally request local level information, experiences and opinions of stakeholders.	Consultation: Government authorities regularly request local level information, experiences and opinions of stakeholders.	Participation: Regular opportunities for stakeholders to take part in relevant local level policy, planning and management processes.	Representation: Formal representation of stakeholders on local authority processes contributing to decision- making on important local issues and activities, as appropriate.	
	Score or n/a: <mark>[Enter score]</mark> 80 %	Justification/evidence	[Enter text here. E.g. mechanisms for public participation, types of stakeholder groups that participate or any significant ones that do not, evidence of degree of participation, geographic differences across country.] Municipal councils, local water projects involve local people, legal provisions with regard to water uses'licencing					

¹³ At the basin/aquifer level, please include only the most important river basins, lake basins and aquifers for water supply or for other reasons. This question only refers to these basins/aquifers. These basins/aquifers likely cross-administrative borders, including state/provincial borders for federal countries. The basins may also cross national borders, but this question refers to management of the portions of basins within each country. Question 2.2e refers specifically to transboundary management of basins/aquifers shared by countries. ¹⁴ Could be organization, committee, inter-ministerial mechanism or other means of collaboration for managing water resources at the basin level.

¹⁵ Stakeholder includes all interested parties who are, or may be, affected by any water resources issue or intervention. It includes organizations, institutions, academia, civil society and individuals.

¹⁶ Examples of 'local level' include municipal level (e.g. cities, towns and villages), community level, basin/tributary/aquifer/delta level, and water user associations.

С	Gender-specific objectives at sub- national levels ¹⁷	Gender not explicitly addressed throughout sub-national laws, policy or plans.	Gender partially addressed in sub- national laws, policies or plans.	Gender addressed in sub-national plans but with limited budget and implementation.	Gender addressed in sub- national plans, partially funded and objectives partly achieved.	Activities adequately funded and objectives mostly achieved.	Objectives fully achieved and adequately address sub- national gender issues.	
	Score or n/a: <mark>[Enter score]</mark> n/a	Justification/evidence	[Enter text here. E.g. refere	[Enter text here. E.g. reference to gender objectives in laws/policies/plans, programs to address gender objectives, reports]				
d	Gender -specific objectives and plans at transboundary level ¹⁸	Gender not explicitly addressed in transboundary policies or plans.	Gender partially addressed in transboundary policies or plans.	Gender addressed in transboundary plans but with limited budget and implementation.	Gender addressed in transboundary plans, partially funded and objectives partly achieved.	Activities adequately funded and objectives mostly achieved.	Objectives fully achieved and adequately address transboundary gender issues.	
	Score or n/a: <mark>[Enter score]</mark> n/a	Justification/evidence	[Enter text here. E.g. reference to gender objectives in policies/plans, programs to address gender objectives, reports]					
		Very low (0)	Low (20)	Medium-low (40)	Medium-high (60)	High (80)	Very high (100)	
e	Organizational framework for transboundary water management for most important basins / aquifers ¹⁹	No organizational framework(s).	Organizational framework(s) being developed .	Organizational framework(s) established .	Organizational framework(s)' mandate is partly fulfilled .	Organizational framework(s)' mandate is fulfilled for the most part.	Organizational framework(s)' mandate is fully fulfilled.	
	Score or n/a: <mark>[Enter score]</mark> 100 %	Justification/evidence	[Enter text here. E.g. reference to organizations, mandates, progress/annual reports.] See answers to 1.2 above.					

¹⁷ Gender-specific objectives at sub-national level can include: 1) Proportion of seats held by male and female in local water authorities' executive boards; 2) Gender Parity of M/F participation in meetings of sub-national decision-making authorities (counting the number of women and men participating in meetings); 3) The presence of gender strategy in local plans and local implementation policies. Source: adapted from WWAP 2015 "Questionnaire for collecting sex-disaggregated water data" http://unesdoc.unesco.org/images/0023/002345/234514E.pdf

¹⁸ Gender-specific objectives at the transboundary level: 1) Presence of a specific gender strategy in transboundary agreements, in other transboundary arrangements, in their implementation plans and in all transboundary water impact assessments; 2) Gender Parity of male and female participants in meetings of transboundary decision-making authorities (counting the number of women and men participating in meetings. Source: adapted from WWAP 2015 "Questionnaire for collecting sex-disaggregated water data" http://unesdoc.unesco.org/images/0023/002345/234514E.pdf

¹⁹ An organizational framework can include the existence of a joint body, joint mechanism or commission for transboundary cooperation. Refers to international basins/aquifers only.

f	FEDERAL COUNTRIES	No dedicated	Authorities exist, with	Authorities have clear	Authorities have the	Authorities have the	Authorities have the	
	ONLY: Provincial /	provincial/state	clear mandate to lead	mandate to lead	capacity to effectively	capacity to effectively	capacity to effectively	
	State authorities	authorities for water	water resources	IWRM	lead IWRM plan	lead periodic	lead periodic IWRM plan	
	responsible for water	resources	management.	implementation, and	implementation.	monitoring and	revision.	
	resources	management.		the capacity to		evaluation of the		
	management			effectively lead IWRM		IWRM plan.		
				plan formulation.				
	Score or n/a: [Enter score]	Justification/evidence	[Enter text here. E.g. refere	nce to authorities and evide	nce of capacity for leading imple	ementation of IWRM]		
	<mark>100 %</mark>		See answers to 1.2 above.	See answers to 1.2 above.				
	verage 'Institutions and Pa	rticipation' score	[Enter score here] 89 %	In case of 'n/a' for any questions, they should be omitted from the average calculation.				

3. Management Instruments

This section includes the tools that enable decision-makers and users to make rational and informed choices between alternative actions. It includes management programs, monitoring water resources and the pressures on them, knowledge sharing and capacity development.

Terminology used in the questions:

- Limited, Adequate, Very good, Excellent: Are terms used describe the status, coverage and effectiveness of the management instruments assessed in this section. Respondents should apply their own judgement based on the 'best-practice' descriptions of management instruments in the glossary, the section introduction, and through footnotes. For example, 'adequate' may imply that the basic minimum criteria for that particular management instrument are met. Respondents are encouraged to provide qualifying information to the question score in the 'Justification' cell immediately below each question.
- **Management instruments:** Can also be referred to as management tools and techniques, which include regulations, financial incentives, monitoring, plans/programs (e.g. for development, use and protection of water resources), as well as those specified in footnotes on questions and thresholds below.
- **Monitoring:** collecting, updating, and sharing timely, consistent and comparable water-related data and information, relevant for science and policy. Effective monitoring requires ongoing commitment and financing from government. Resources required include appropriate technical capacity such as laboratories, portable devices, online water use control and data acquisition systems. May include a combination of physical data collection, remote sensing, and modelling for filling data gaps.
- Short-term / Long-term: In the context of management instruments, short-term includes ad-hoc activities and projects, generally not implemented as part of an overarching program with long-term goals. Long-term refers to activities that are undertaken as part of an ongoing program that has more long-term goals/aims and implementation strategy.

Please take note of all footnotes as they contain important information and clarification of terms used in the questions and thresholds.

5.	Management Instru			Dogroo of impl	$m_{\rm entries} (0, 100)$				
			1014 (20)	· · · · ·	ementation (0 – 100)	Uiah (90)	λ (any bigh (100)		
	1 \A /h at is the status	Very low (0)	Low (20)	Medium-low (40)	Medium-high (60)	High (80)	Very high (100)		
5.	1	-	ruments to support IWRM imp	1	I				
	National monitoring of water availability ²⁰ (includes surface and/or groundwater, as relevant to the country).	No national monitoring systems in place.	Monitoring systems established for a limited number of short-term / ad-hoc projects or similar.	Long-term national monitoring is carried out but with limited coverage and limited use by stakeholders.	Long-term national monitoring is carried out with adequate coverage but limited use by stakeholders.	Long-term national monitoring is carried out with very good coverage and adequate use by stakeholders.	Long-term national monitoring is carried out with excellent coverage and excellent use by stakeholders.		
	Score or [Enter n/a: score] 90 %	Justification/eviden ce	stakeholders.] Groundwater quantity. Surface water flow.		monitored and where, evidence o blem yet, only in very dry period		information for		
	Sustainable and efficient water use management ²¹ from the national level, (includes surface and/or groundwater, as relevant to the country).	No management instruments being implemented.	Use of management instruments is limited and only through short-term / ad-hoc projects or similar.	Some management instruments implemented on a more long-term basis, but with limited coverage across different water users and the country.	Management instruments are implemented on a long-term basis, with adequate coverage across different water users and the country.	Management instruments are implemented on a long- term basis, with very good coverage across different water users and the country, and are effective .	Management instruments are implemented on a long-term basis, with excellent coverage across different wate users and the country and are highly effective .		
	Score or [Enter n/a: score] 90 %	Justification/eviden ce	Image: state of the state						

²⁰ See definition of monitoring in Terminology. ²¹ Management instruments include demand management measures (e.g. technical measures, financial incentives, education and awareness raising to reduce water use and/or improve water-use efficiency, conservation, recycling and re-use), monitoring water use (including the ability to disaggregate by sector), mechanisms for allocating water between sectors (including environmental considerations).

				Average use is 121 l/person a da	ay in households and small busing	nesses. Rather low.		
			Very low (0)	Low (20)	Medium-low (40)	Medium-high (60)	High (80)	Very high (100)
С	Pollution control ²² from the national level No management instruments being implemented. Score or n/a: [Enter score] 90 % Justification/eviden ce		instruments being	Use of management instruments is limited and only through short-term / ad-hoc projects or similar.	Some management instruments implemented on a more long-term basis, but with limited coverage across sectors and the country.	Management instruments are implemented on a long-term basis, with adequate coverage across sectors and the country.	Management instruments are implemented on a long- term basis, with very good coverage across sectors and the country, and are effective .	Management instruments are implemented on a long-term basis, with excellent coverage across sectors and the country, and are highly effective .
			•	[Enter text here. E.g. reference to types of management instruments, evidence of implementation, geographic differences, level of implementation across different stakeholder groups.] Regular monitoring. Monitoring of groundwater and surface water on regional and international level. Regular.				
d	water-r ecosyst		No management instruments being implemented.	Use of management instruments is limited and only through short-term / ad-hoc projects or similar.	Some management instruments implemented on a more long-term basis, but with limited coverage across different ecosystem types and the country.	Management instruments are implemented on a long-term basis, with adequate coverage across different ecosystem types and the country. Environmental Water Requirements (EWR) analysed in some cases.	Management instruments are implemented on a long- term basis, with very good coverage across different ecosystem types and the country, and are effective . EWR analysed for most of country.	Management instruments are implemented on a long-term basis, with excellent coverage across different ecosystem types and the country, and are highly effective . EWR analysed for whole country.
	Score or n/a: Score] 80 % Scor							

²² Includes regulations, water quality guidelines, economic tools (e.g. taxes and fees), water quality trading programs, water quality monitoring, education, consideration of point and non-point (e.g. agricultural) pollution sources, construction and operation of wastewater treatment plants, watershed management.

²³ Water-related ecosystems include rivers, lakes and aquifers, as well as wetlands, forests and mountains. Management of these systems includes tools such as management plans, the assessment of Environmental Water Requirements (EWR), and protection of areas and species. Monitoring includes measuring the extent and quality of the ecosystems over time.

			Very low (0)	Low (20)	Medium-low (40)	Medium-high (60)	High (80)	Very high (100)		
e	e Management		No management	Use of management	Some management	Management instruments	Management	Management		
	instruments to		instruments	instruments is limited and	instruments	are implemented on a	instruments are	instruments are		
	reduce impacts of		being	only through short-term /	implemented on a more	long-term basis, with	implemented on a long-	implemented on a		
water-related			implemented.	ad-hoc projects or similar.	long-term basis, but with	adequate coverage of at-	term basis, with very	long-term basis, with		
disasters ²⁴ from		's²⁴ from			limited coverage of at-	risk areas.	good coverage of at-risk	excellent coverage of		
	the nation	onal level			risk areas.		areas, and are effective.	at-risk areas, and are		
								highly effective.		
	n/a:	[Enter score] 80 %	Justification/eviden ce	implementation for different type Flood risk management plans.	ter text here. E.g. reference to types of management instruments, evidence of implementation and effectiveness, geographic differences, level of olementation for different types of water-related disasters.] od risk management plans. ns on national, regional and local level in case of catastrophies like floods.					
З	.2 What is	the status	of management instruments to support IWRM implementation at other levels?							
а	Basin		No basin level	Use of basin level	Some basin level	Basin level management	Basin level management	Basin level		
	manage	ement	management	management instruments	management	instruments implemented	instruments	management		
	instrum	ents. ²⁵	instruments	is limited and only through	instruments	on a more long-term basis,	implemented on a more	instruments		
			being	short-term / ad-hoc	implemented on a more	with adequate geographic	long-term basis, with	implemented on a		
			implemented.	projects.	long-term basis, but with	and stakeholder coverage.	effective outcomes and	more long-term basis,		
					limited geographic and		very good geographic	with highly effective		
					stakeholder coverage.		and stakeholder	outcomes and		
							coverage.	excellent geographic and stakeholder		
			covera					coverage.		
	Score or	[Enter	Justification/eviden	[Enter text here. E.g. reference	to types of management instrur	nents, evidence of implementation	on and effectiveness, geographi	c differences, level of		
		score]	се	implementation across different stakeholder groups.]						
		80 %		Basin related management and flood risk managements in all basins (national and international)						

²⁴ **Management instruments** can cover: understanding disaster risk; strengthening disaster risk governance; investing in disaster risk reduction; and enhancing disaster preparedness. **Impacts** include social impacts (such as deaths, missing persons, and number of people affected) and economic impacts (such as economic losses in relation to GDP). **Water-related disasters** include disasters that can be classified under the following: Hydrological (flood, landslide, wave action); Meteorological (convective storm, extratropical storm, extreme temperature, fog, tropical cyclone); and Climatological (drought, glacial lake outburst, wildfire).

²⁵ Basin and aquifer management: involves managing water at the appropriate hydrological scale, using the surface water basin or aquifer as the unit of management. This may involve basin and aquifer development, use and protection plans. It should also promote multi-level cooperation, and address potential conflict, among users, stakeholders and levels of government for the management of water resources. To achieve 'Very high (100)' basin and aquifer management scores, surface and groundwater management must be integrated.

		Very low (0)	Low (20)	Medium-low (40)	Medium-high (60)	High (80)	Very high (100)			
b	Aquifer	No aquifer level	Use of aquifer level	Some aquifer level	Aquifer level management	Aquifer level	Aquifer level			
	management		management instruments	management	instruments implemented	management	management			
	instruments. ²	⁶ instruments	is limited and only through	instruments	on a more long-term basis,	instruments	instruments			
		being	short-term / ad-hoc	implemented on a more	with adequate geographic	implemented on a more	implemented on a			
		implemented.	projects.	long-term basis, but with	and stakeholder coverage.	long-term basis, with	more long-term basis,			
				limited geographic and		effective outcomes and	with highly effective			
				stakeholder coverage.		very good geographic	outcomes and			
						and stakeholder	excellent geographic			
						coverage.	and stakeholder			
							coverage.			
	Score or <mark>[Enter</mark>	Justification/eviden			ments, evidence of implementation	on and effectiveness, geographi	c differences, level of			
	n/a: <mark>score]</mark>	се	implementation across different							
	<mark>80 %</mark>			luded in the river basin manage	ement plans or in the bilateral co	ordination with other countries	. Rather few agreements			
			only on groundwater.							
с	Data and	No data and	Limited data and	Data and information	Data and information	Data and information	All relevant data and			
	information	information	information sharing on an	sharing arrangements	sharing arrangements	sharing arrangements	information are online			
	sharing <u>within</u>		ad-hoc basis.	exist on a more long-	implemented on a more	implemented on a more	and freely accessible			
	countries at a			term basis between	long-term basis, with	long-term basis, with	to all.			
	levels ²⁷			major data providers	adequate coverage across	very good coverage				
				and users.	sectors and the country.	across sectors and the				
						country.				
	Score or [Enter			[Enter text here. E.g. reference to different data and information sharing arrangements, access to information.]						
	n/a: <mark>score]</mark> 80 %	ce	River basin management needs data and information sharing among the 16 federal states. Joint provisions on monitoring programmes. Regular data exchange or data compilation centralised in certain basins.							
Ч	Transbounda	rv No data and	Limited data and	Data and information	Data and information	Data and information	All relevant data and			
u	data and	information	information sharing on an	sharing arrangements	sharing arrangements	sharing arrangements	information are online			
	information	sharing.	ad-hoc or informal basis.	exist, but sharing is	implemented adequately.	implemented	and accessible			
	sharing betwe			limited.		effectively. ²⁸	between countries.			
	countries									
	Score or [Enter	Justification/eviden	[Enter text here, E.g. reference t	to different data and informatic	n sharing arrangements, access t	o information.				
	n/a: score]		_	[Enter text here. E.g. reference to different data and information sharing arrangements, access to information.] River basin management needs data and information sharing. Mostly on the basis of the basins international level), more aggregated on EU-level.						
	80 %		-	Joint monitoring programmes in international basins, joint assessments of results.						

 ²⁶ See previous footnote on basin management instruments, which also applies to aquifers.
 ²⁷ Includes more formal data and information sharing arrangements between users, as well as accessibility for the general public, where appropriate.

²⁸ E.g. institutional and technical mechanisms in place that allow for exchanging data as agreed upon in agreements between riparians (e.g. regional database or information exchange platform with a river basin organization including technical requirements for data submission, institutionalized mechanisms for QA and for analysing the data, etc.).

Average 'Management Instruments'	[Enter score here]	In case of 'n/a' for any questions, they should be omitted from the average calculation.
score	83 %	

4. Financing

This section concerns the adequacy of the finance available for water resources development and management from various sources.

Finance for investment and recurrent costs can come from many sources, the most common being central government budget allocations to relevant ministries and other authorities. Finance from Overseas Development Assistance (ODA) specifically for water resources should be considered part of the government budget. Note that the level of coordination between ODA and national budgets is tracked by the 'means of implementation' indicator 6.a.1: "Amount of water- and sanitation-related official development assistance that is part of a government-coordinated spending plan", as part of reporting on Target 6.a: "By 2030, expand international cooperation and capacity-building support to developing countries in water- and sanitation-related activities and programmes, including water harvesting, desalination, water efficiency, wastewater treatment, recycling and reuse technologies".

"Other sources" include fees and tariffs levied on water users, polluter fees or grants from philanthropic or similar organisations. In kind support should not be included as it is not easily measurable but can be mentioned in the 'Justification/evidence' section.

Investments should cover all aspects of water resources development and management but exclude any related to drinking water supply and sanitation services as they are covered in other monitoring processes.

Please take note of all footnotes as they contain important information and clarification of terms used in the questions and thresholds.

4. I	I. Financing						
			Degree of implementation (0 – 100)				
		Very low (0)	Low (20)	Medium-low (40)	Medium-high (60)	High (80)	Very high (100)
4.1	L What is the status of	of financing for water res	ources development and ma	anagement at the national	level?		
а	National budget ²⁹ for investment including water resources infrastructure ³⁰ .	No budget allocated in national investment plans.	Budget allocated but only partly covers planned investments.	Sufficient budget allocated for planned investments but insufficient funds disbursed or made available.	Sufficient budget allocated and funds disbursed for all planned programmes or projects.	Funding available and all planned projects under implementation.	Planned programs completed, post- evaluation carried out and new funding cycle for programs underway.
	Score or <mark>[Enter</mark> n/a: score] 90 %	Justification/evidence	[Enter text here. E.g. reference to adequacy of budget, significant budget gaps.] Drinking water provision, wastewater treatment, licensing of water uses, river basin management are paid mainly out of the regional budgets of the 16 federal states (taxes, fees, EU funds) and the Federal budget (international cooperation, waterways)				of the regional budgets of
q	National budget for the recurrent costs of the IWRM elements ³¹	No budget allocations made for recurrent costs of the IWRM elements.	Allocations made for only a few of the elements and implementation at an early stage.	Allocations made for at least half of the elements but insufficient for others.	Allocations for most of the elements and some implementation under way.	Allocations include all elements and implementation regularly carried out.	Planned budget allocations for all elements of the IWRM approach fully utilised .
	Score or [Enter n/a: score] 80 %	Justification/evidence	[Enter text here. E.g. reference	e to adequacy of budget, signi	ficant budget gaps.		•

²⁹ Allocations of funding for water resources may be included in several budget categories or in different investment documents. Respondents are thus encouraged to examine different sources for this information. When assessing the allocations respondents should take account of funds from government budgets and any co-funding (loans or grants) from other sources such as banks or donors.

³⁰ Infrastructure includes 'hard' structures such as dams, canals, pumping stations, flood control, treatment works etc as well as soft infrastructure and environmental measures such as catchment management, sustainable drainage systems etc. For this survey do not include infrastructure for drinking water supply or sanitation services.

³¹ 'IWRM elements' refers to all the activities described in sections 1, 2 and 3 of this survey that require funding, e.g. policy, law making and planning, institutional strengthening, coordination, stakeholder participation, capacity building, and management instruments such as research and studies, gender and environmental assessments, data collection, monitoring etc.

		Very low (0)	Low (20)	Medium-low (40)	Medium-high (60)	High (80)	Very high (100)
4	4.2 What is the status of financing for water resources development and management at other levels?						
а	Sub-national or basin budgets for investment including water resources infrastructure.	No budget allocated In sub-national or basin investment plans.	Budget allocated but only partly covers planned investments.	Sufficient budget allocated for planned investments but insufficient funds disbursed or made available.	Sufficient budget allocated and funds disbursed for all planned programmes or projects.	Funding available and all planned projects under implementation.	Budget fully utilised , programmes completed as planned and post evaluation carried out.
	Score or [Enter score] n/a: 90 %	Justification/evidence	[Enter text here. E.g. reference to See answer to 4.1 above.	adequacy of budget, signif	icant budget gaps.]		
b	Revenues raised from dedicated levies on water users at basin, aquifer or sub- national levels. ³²	No revenues raised at the sub-national level.	Processes in place to raise local revenue but not yet implemented.	Limited revenues raised from charges, but are not used for IWRM activities.	Limited revenues raised from charges cover some IWRM activities.	Revenues raised from charges cover most IWRM activities.	Local authorities raise funds from multiple sources and fully cover costs of IWRM activities.
	Score or [Enter score] Justification/evidence [Enter text here. E.g. reference to types of revenues raised and mechanisms, and adequacy of revenues to wastewater discharges fees (treatment plans), wastewater treatment fees (households) (estimate) Drinking water consumption fees (households) Water abstraction fees (industry, agriculture etc.)				irements.]		
с	Financing for transboundary ³³ cooperation ³⁴	No specific funding allocated from the MS budgets nor from other regular sources.	MS agreement on country share of contributions in place and in-kind support for the cooperation organisation / arrangement.	Funding less than 50% of that expected as contributions and by regulation.	Funding less than 75% of that expected as contributions and by regulation.	Funding more than 75% of that expected as contributions and by regulation.	Full funding of that expected as contributions and by regulation.
	Score or [Enter score] n/a: 80 %	Justification/evidence	[Enter text here. E.g. reference to Federal Government pays contrib Federal States co-finance transbo	utions to the budget of inte	ernational river commission	· · · · · · · · · · · · · · · · · · ·	studies or projects.

³² For example, abstraction & bulk water charges, environmental fees such as pollution charges, Payment for Ecosystem Services (PES) schemes, and the sale of secondary products and services, significant contributors.

³³ Transboundary includes surface and groundwater basins that cross one or more national borders.

³⁴ In this question "Member States (MS)" refers to riparian countries that are parties to the arrangement. "Contributions" refers to the annual share of funds agreed from MS national budgets to support the agreed TB cooperation arrangement. Regular funds obtained from for example, water user fees (e.g. hydropower charges) and polluter-pays fees on the basis of existing regulation are also taken into account as sustainable funding. As variable and unsustainable, donor support is not considered.

Average 'Financing' score [Enter score here] 84 %	In case of 'n/a' for any questions, they should be omitted from the average calculation.
------------------------------------------------------	------------------------------------------------------------------------------------------

5. Indicator 6.5.1 score

Please complete the following table based on scores calculated for the previous four sections.

The indicator 6.5.1 score is the average of each of the section scores.

Section	Average Score
Section 1 Enabling Environment	96 %
Section 2 Institutions and Participation	89 %
Section 3 Management Instruments	83 %
Section 4 Financing	84 %
Indicator 6.5.1 score = Degree of IWRM implementation (0-100)	<mark>88 %</mark>

(Please remember: Questions where the score is 0 (zero) must be included. However, questions that are not applicable must not be included.)

Interpretation of the score

The score indicates the 'degree of implementation of Integrated Water Resources Management', on a scale of 0 to 100, with 0 signifying no implementation, and 100 signifying complete implementation. However, the true value of the questionnaire to countries lies within the scores and justification provided for the individual questions, as this helps to identify which actions need to be taken to move towards a greater degree of implementation of IWRM.